

# Influence of baseline comorbid diseases on major depression and the effect of intensive medical treatment on functional mobility in depressed patients compared with those without depression

Ibrahim Raoua Ouedraogo

Centre for neurodegenerative diseases and Movement Disorders Kreuzbergstr. 12-16, 77709 Wolfach, Germany

**\*Corresponding Author:** Ibrahim Raoua Ouedraogo, Centre for Neurodegenerative Diseases and Movement Disorders Kreuzbergstr. 12-16, 77709 Wolfach, Germany,

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## Abstract

### Objectives

Somatic diseases, depression and functional mobility were examined in a follow-up study in geriatric patients. The first aim of the study was to assess the independent and moderator effects of baseline diseases on depression before adjustment by examining whether this influence will sustain or switch after adjustment. The second aim was to explore the differential treatment outcomes in functional mobility in depressed patients versus those with no depression.

### Methods

A sample of  $n = 1,816$  multimorbid patients consisting of  $n = 902$  with a diagnosis of major depression and their counterparts  $n = 914$  without depression were examined at two time points. They all underwent acute rehabilitation. Those who were diagnosed with major depression received an antidepressant and psychotherapy treatment. Depressive symptoms were recorded with the Geriatric Depression Scale. Functional mobility was measured using the Tinetti Test.

### Results

We found that 431 patients (47%) were in partial remission at follow-up. The results yield a significant improvement in functional mobility in both groups as an effect of the intensive rehabilitation program. These findings support published findings highlighting an overall functional health enhancement owing to a combined medical rehabilitation, antidepressant and psychotherapeutic treatment as a result of early intensive rehabilitation for elderly patients with multiple medical conditions. The findings show that elderly patients with multiple chronic conditions benefit from intensive rehabilitation, psychotherapy and antidepressant treatment within three weeks of intensive stationary treatment.

Results showed that baseline comorbid diseases (mainly focal neurologic deficits and coronary artery diseases) each act as risk factors for major depression before treatment. An intercept effect was unveiled between obesity and arterialhypertension that arises as risk factors for depression before adjustment. After adjustment, we found that baseline chronic conditions, specifically coronary artery disease, focal neurologic deficits, heart or valvular insufficiency, artificial valvular or pace maker and tumour diagnosis in respiratory organ each act as a potential risk factor for depression occurrence. The intercept effect erased after treatment. Functional health was increased significantly in both groups at follow-up. However, there was no difference in the increase of functional mobility (Tinetti Test) in non-depressed and depressed patients following the rehabilitation.

### Conclusion

The results bolster up the conjecture that specific somatic diseases influence major depression before and after treatment and support an association between somatic diseases, depression, and functional health in elderly patients. In depressed and non-depressed patients, geriatric rehabilitation boosts functional mobility, which is crucial for the maintenance of autonomy in elderly patients. Major depression may hamper functional mobility rehabilitation's outcome only if not well managed or overlooked.

**Keywords:** Comorbidity, Major depression, Functional mobility, Partial remission, Geriatric patients.

### Introduction

A major challenge in the context of evaluating depression in the elderly is the role of multiple medical conditions. With ageing, there is a rapid increase in the prevalence of a number of medical disorders, including heart disease, neurodegenerative disorders, depression and functional losses. The relationship between functional mobility, chronic medical problems and depression is complex, as it appears to include associations between depression, functional decline, and somatic diseases on the one hand, and separate associations that affect the outcomes for each of these domains on the other hand. Previous research has focused on connections between specific aspects of these interactions such as the relationship between increasing weakness and the presence of depression, the interdependence between treatment outcomes of chronic diseases and major depression, and the effect of somatic diseases on the course of depression. A bulk of research, which tried to identify factors associated with functional decline in multimorbid depressed patients [16, 39, 35, 37, 20, 28],

and those which assessed the relationship between somatic diseases and the outcomes of treatment for depression [2, 15, 30], did not tackle the issue of independent and intercept effects of baseline diseases suspected of being related to depression occurrence. Likewise, most published findings did not examine the treatment outcome of acute rehabilitation on functional mobility in depressed persons versus those with no depression. Although major depression is suspected of hampering the functional rehabilitation outcome, the plausible hindering effect of depression on functional mobility rehabilitation has not been examined. Whether there are differential treatment outcomes related to any specific pattern of somatic diseases, is still unexplored. It remains unclear whether there are only independent effects of baseline diseases on depression or also moderator (or intercept) effects between certain somatic diseases that impact on major depression in pre and post rehabilitation phases.

The examination of these issues may have a beneficial impact on the clinical management of elderly patients presenting with multiple medical conditions. Furthermore, the use of clinical data in order to address these issues may have a beneficial effect on clinical practice. Given the global ageing of the population, the topic of boosting functional mobility, sustain mental health and maintain autonomy has become a central paradigm in acute rehabilitation. Patients with major depression,  $n = 902$ , received an antidepressant treatment either with selective serotonin reuptake inhibitors (SSRIs) or serotonin and noradrenaline reuptake inhibitors (SNRIs) combined with a psychotherapeutic intervention. All patients involved in the sample ( $n = 1816$ ) received an intensive medical treatment and adhered to a scheduled rehabilitation program. In targeting major depression, the expected outcome is a partial remission that is, an improvement in 80% of symptoms of depression before release from hospital.

We hypothesized that the two groups (non-depressed and depressed) would benefit from the acute rehabilitation program. Based on the suspected hampering effect of major depression on functional rehabilitation outcome in elderly, we hypothesized that the performance in the Tinetti test at time two of assessment in non-depressed patients would be higher than those depressed at baseline. The research postulated that whereas some chronic conditions would act independently as a trigger for depression, some other would need a moderator factor (co-factor path) to trigger depression occurrence before and after adjustment.

### Objectives

The present study aims at determining the influence of independent and moderator effects of baseline diseases on depression before treatment. It also intended to examine whether that purported influence will sustain or switch following acute rehabilitation and combined antidepressant and psychotherapy treatment. Furthermore, the research aims to analyse the differential treatment outcomes in functional mobility in depressed persons versus those with no depression.

### Materials and Methods

#### Sample and procedure

We used data from a series of neuropsychological and medical diagnostic procedures run on 2,151 subjects at the Evangelic Geriatric Centre Berlin: "Evangelisches Geriatriezentrum Berlin" EGZB. The length of stay for the stationary treatment is currently three weeks. After the required admission's medical examination (medical diagnosis, geriatric basic assessments, geriatric screening) a series of neuropsychological tests for depression and cognitive function was run in patients by senior psychologists. Patients of both sexes were eligible for the present study if they were 60 years or older, disclosed either a diagnosis of major depression or no depression, had multiple medical conditions as well as functional decline. The exclusion criteria were a diagnosis of dementia, head injury after an accident or fall, bipolar disorder, schizophrenia, delirium or terminal disease condition. Subsequent to the psychological diagnostic procedure,  $n = 902$  patients who scored between 11 and 15 on the GDS-15 and fulfilled the clinical criteria of the ICD-10 for major depression, and  $n = 914$  patients without depression were retrospectively maintained for the study design. This led to a sample of  $n = 1,816$  patients ( $n = 902$  with major depression + 914 without depression) which the study focused on. Patients of both groups fully fulfilled the Charlson comorbidity index [39] and the functional comorbidity index [37]. They all had more than six current somatic diagnoses, had functional mobility and functional ability decline and were admitted to the Geriatric Centre for acute medical treatment and rehabilitation. Medical and psychiatric diagnoses were extracted by senior geriatrics physicians and senior psychologists.

#### Measures

##### **Sociodemographic characteristics**

Age, gender, education, marital status and living situation (home versus nursing home) were determined at the baseline interview.

##### **Depression: Follow-up assessment**

Depression was diagnosed according to the criteria of the ICD-10 for major depression and scored using the Geriatric Depression Scale's short form, GDS-15 [41]. This version of the scale has been shown to have good internal consistency with Cronbach's Alpha = 0.80 [7]. All patients diagnosed with major depression scored between 11 and 15 on GDS-15 at baseline. Psychiatric diagnoses were done by senior psychologists in close collaboration with psychiatrists for medical prescription. Apart from the Lachs screening, all assessments were done at admission

and shortly before discharge i.e., Follow-up assessment.

##### **Lachs screening**

The Geriatric Screening Scale [18], which was administered at admission, is an important indicator of functional disturbance and geriatric risks. It is used by family doctors and general practitioners. The World Health Organization recommends that people aged 65 years or over should be screened once a year. The scale (15 items) provides data on independence, memory, depression, social situation, dexterity action, skilfulness, flexibility, mobility and mental capacity. The scale was administered by the physician who made the basic physical examination at admission.

##### **Tinetti gait and balance Test (Follow-up assessment)**

The test of functional mobility which consists of gait and balance [34], was used to identify patients at risk of fall at admission and discharge from hospital. The test (0-28 points) analyses differential aspects of mobility, such as control, gait and balance.

##### **Medical diagnoses (Follow-up assessment)**

Medical diagnoses were extracted by senior geriatrics physicians at admission and shortly before discharge from hospital. The diagnoses are based on the International Classification of Disease [40]. Information on medication taken before admission was determined based on medical records sent either by the family doctor or the referring hospital.

##### **Statistical Analysis**

Statistical analyses used IBM SPSS version 24 for Windows. Preliminary descriptive analysis showed that Tinetti and Bi Scores were distributed normally and Type I error effect was checked for. Given the sample size ( $902$  vs.  $914 = 1,816$ ), effect sizes were relatively large and observed powers were excellent. Descriptive analyses included means and standard deviations for continuous variables and frequencies for categorical variables. The McNemar's Test appeared the best to make statistical decisions as to whether or not the combined medical rehabilitation, antidepressant and psychotherapeutic treatment had an effect on the outcome of depression. Contingency table modelling for dichotomous variables using the McNemar's Test as a repeated measures version of a chi-square test of independence was then applied to assess the improvement in depression symptoms in terms of partial remission at follow up. The test is suitable for dichotomous variables with two dependent sample studies. All criteria for the use of

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the McNemar's Test were met. These include the before-after effect, a 2X2 contingency table with the dichotomous variable at time 1 and time 2 and matched paired studies. General Linear Modelling, in particular two-ways Analysis of Variances (ANOVA) with repeated measures design, were used to assess the treatment outcomes in functional health in depressed and non-depressed patients with regard to Tinetti test assessed at two time points. Furthermore, multiple baseline characteristics, including the indicator of geriatric risk (Lachs), age, gender, living situation and medical support were used to test for intercept effects because each may affect the outcomes for depression and functional health. Multiple Logistic Regressions with intercept terms were used to model the binary response of baseline diseases etiologically linked to major depression with and without adjustment.

### Results

Table 1 (Insert Table 1 approximately here.)

As noted in Table 1, the sample consisted of

Caucasian patients (100%), the majority being female (70% versus 63%) and living alone (61.5% vs. 55.3%), mostly as a result of the partner's death. The sample exhibited a significant mean level of cognitive impairment (25.7 vs. 23.8) with 52% scoring 25 or less on the MMSE. The total sample (n = 1,816) which is made up of n = 902 patients with major depression (50%) and n = 914 others without depression (50%) is consistent with the recommended methodological concern of sample size equivalence in clinical studies aimed at comparing two clinical groups after treatment. An important level of functional mobility decline was obvious in Tinetti gait and balance (mean = 11.4 vs. 7.2). Patients also exhibited a high level of functional ability decline as measured by the Barthel Index (mean = 49.3 vs. 38.2). Those without depression scored between 0 and 5 on the GDS-15 (2.4 vs. 14.2). Taken together, descriptive results show that functional decline was more accentuated in patients with major depression than in those without depression.

**Table 1:** Clinical and Demographic Characteristics of Selected Patients without Depression admitted to Geriatric Centre and Those Diagnosed with Major Depression

Characteristics	Sample of patients without depression (N=914)	Sample of patients with a diagnosis of major depression (N=902)	P value	Statistical Technique
<b>Age</b> (mean, $\pm$ SD)	77.1 $\pm$ 8.7	79.1 $\pm$ 8.1	.030*	b
<b>Gender</b> (Female) (%)	70.0	63.3	.073	a
<b>Caucasian</b> (%)	100.0	100.0	.001***	a
<b>Living with partner</b> (%)	38.9	44.7	.112	a
<b>Living alone</b> (%)	61.1	55.3	.185	a
<b>Admission Tinetti total</b> (mean, $\pm$ SD)	11.4 $\pm$ 8.9	7.2 $\pm$ 7.1	.001***	b
<b>Admission BI</b> (mean, $\pm$ SD)	49.3 $\pm$ 24.4	38.2 $\pm$ 22.1	.001***	b
<b>Lachs screening</b> (mean, $\pm$ SD)	5.5 $\pm$ 2.6	6.8 $\pm$ 2.6	.001***	b
<b>Mini Mental State Examination</b> (mean, $\pm$ SD)	25.7 $\pm$ 3.2	23.8 $\pm$ 5.6	.001***	b
<b>Type of housing</b>				
Private flat (%)	94.7	86.4	.001**	a
Nursing home (%)	5.3	13.6	.001**	a
<b>Length of hospitalization, days</b> (mean, $\pm$ SD)	20.4 $\pm$ 10.9	21.5 $\pm$ 10.7	.050*	b
<b>Number of medical diagnosis or comorbidity</b>				
GDS (Mean, $\pm$ SD)	2.4	14.2	.001**	b

Crosstabs Chi-Square Test ( $\chi^2$ ) (a); Mann-Whitney U Test (b);

\*\*\*  $P \leq 0.001$  \*\*  $P \leq 0.01$  \*  $P \leq 0.05$



**Table 2 (Insert Table 2 approximately here.)**

Table 2 reports the frequency distribution for medical illnesses among the sample of major depression. Arterial hypertension was present in 62.7% of the participants. Arrhythmia diagnosis was present in 30%. Heart or valvular insufficiency, stroke, focal neurologic deficits and cerebral infarct were present in over 20%. Coronary heart disease, artificial valvular or pace maker, heart operation, leg or thorax

fracture, obesity, nutrition disturbance, oesophagus or stomach disease were ascribed to more than 10% whereas pneumonia, adiposity, chronic arthritis, hypercholesterolemia and liver disease occurred in more than 5%. Tumour in respiratory organ was found in more than 3%. The occurrence of multiple chronic disorders was also common, with 20% having five illnesses, 25% having eight illnesses and 45% having more than ten illnesses.

**Table 2:** Illness Categories and Number (Percentage) of Patients with Major Depression and Comorbid Illnesses (N = 902)

<b>Illness Categories</b>	<b>N</b>	<b>Frequency</b>
<i>Arterial hypertension</i>	537	62.7 %
<i>Arrhythmia diagnosis</i>	259	30.2 %
<i>Heart or valvular insufficiency</i>	222	25.9 %
<i>Stroke</i>	204	23.8 %
<i>Focal neurologic deficits</i>	198	23.1 %
<i>Cerebral infarct</i>	176	20.5 %
<i>Coronary heart disease</i>	166	19.4 %
<i>Artificial valvular or pace maker</i>	154	18.0 %
<i>Heart operation</i>	153	17.9 %
<i>Leg or thorax fracture</i>	130	15.2 %
<i>Obesity due to excess calories</i>	117	13.7 %
<i>Nutrition disturbance</i>	95	11.1 %
<i>Oesophagus and stomach disease</i>	89	10.4 %
<i>Pneumonia</i>	63	7.4 %
<i>Adiposity fat localised</i>	58	6.8 %
<i>Chronic arthritis</i>	58	6.4 %
<i>Hypercholesterolaemia</i>	56	5.5%
<i>Liver disease</i>	45	5.3%
<i>Tumour in respiratory organ</i>	30	3.5

**Table 3:** Illness Categories and Number (Percentage) of Patients without Major Depression and Comorbid Illnesses (N = 912)

<b>Illness Categories</b>	<b>N</b>	<b>Frequency</b>
<i>Arterial hypertension</i>	515	56.3 %
<i>Arrhythmia diagnosis</i>	269	29.4 %
<i>Heart or valvular insufficiency</i>	209	22.9 %
<i>Stroke</i>	199	21.8 %
<i>Cerebral infarct</i>	163	17.8 %
<i>Focal neurologic deficits</i>	149	16.3 %
<i>Artificial valvular or pace maker</i>	137	15.0 %
<i>Heart operation</i>	134	14.7 %
<i>Coronary heart disease</i>	128	14. %
<i>Nutrition disturbance</i>	123	13.5 %
<i>Leg or thorax fracture</i>	121	13.2 %
<i>Obesity due to excess calories</i>	108	11.8 %
<i>Oesophagus and stomach disease</i>	74	8.1 %
<i>Pneumonia</i>	52	5.7 %
<i>Adiposity fat localized</i>	52	5.7 %
<i>Liver disease</i>	30	3.5 %
<i>Tumour in respiratory organ</i>	31	3.4%
<i>Chronic arthritis</i>	21	2.3%
<i>Hyper cholesterolaemia</i>	20	2.%

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Table 3 reports the frequency distribution for medical illnesses among the sample of patients without major depression. Arterial hypertension was present in 56.3% of the participants. Arrhythmia diagnosis was present in 29.4%. Heart or valvular insufficiency were present in 23%. Stroke was present in 22%. Cerebral infarct was present in 18% and Focal neurologic deficits were present in 17%. Coronary heart disease, artificial valvular or pace maker, heart operation, leg

or thorax fracture, obesity, nutrition disturbance ranged between 10% and 18% whereas oesophagus or stomach disease, pneumonia, adiposity, chronic arthritis, hypercholesterolemia and liver disease occurred in more than 5%. Tumour in respiratory organ was found in more than 3%. Like their counterparts with major depression, the occurrence of multiple chronic disorders was also common.

**Table 4:** Summarizes results from McNemar's Test using Cross Tabulation Modelling.

Improvement in Depression Symptom	df	Depression_T1by Value	Depression_T2 P
Person	1	437.254	.001
Continuity	1	634.557	.001
Likelihood Ratio	1	805.940	.001
<b>Fischer's Exact Test</b>			.001
Linear by linear	1	636.903	.001
<b>Association</b>			
McNemar's Test			.001
Sample size	1,816		

Criteria= Alpha (.05); Significance level:  $p < .001$  \*\*,  $p < .05$  \*

Improvement in depression in term of partial remission

**Table 5:** Risk Estimate Table

Odds Ratio	Value	95% Confidence Interval	
		Lower	Upper
<b>For major depression T1 no depression/depression</b>	498.320	123.678	2007.817
<b>For cohort major depression T2 = no depression</b>	2.088	1.950	2.236
<b>For cohort major depression T2 = depression</b>	.004	.001	.017
<b>N of Valid Cases</b>	1816		

Improvement in depression symptoms i.e., partial remission

The results (Table 4, 5) strongly demonstrate an improvement in depression symptoms at T2, McNemar's Test [ $p < .001$ ]; Person ( $\chi^2 = 437.254$ ); Odds ratio= 2.08; 95% confidence interval (CI) = 1.95 - 2.23]; the relationship is strong between the variables. We can report the result as showing statistically significant improvement in depression symptoms due to treatment effect at follow-up assessment. In fact, the results point to a significant improvement in depression in terms of partial

remission at the second time point assessment.

All results yielded a significant and strong power effect that accounts for 47% improvement or partial remission at follow-up. 53% remained depressive at T2. All remitters scored below 5 points on the GDS-15 i.e., between 0 and 5 on the GDS-15. Some residual symptoms of depression were still present but most key symptoms of major depression were no longer reported and an improvement in functional mobility was noticeable.

**Table 6:** Results from ANOVA, Tinetti score (Within-Subjects Effects)

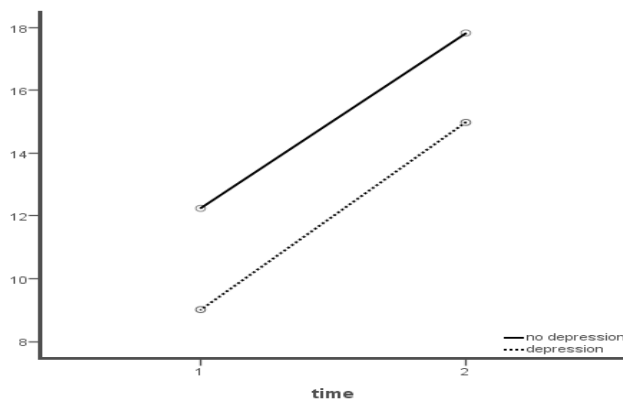
Source	Type III sum of Squares	df	Mean Square	F	P	Partial Eta Squared	Observed Power
<b>Time</b>	5362.044	1	5362.044	382.544	.000	.265	1.000
<b>Time X Dep. T1</b>	18.510	1	18.510	1.321	.251	.001	.209
<b>Time X lachsT1</b>	7.378	1	7.378	.526	.468	.001	.112
<b>Error (Time)</b>	14885.857	1062	14.017				

ANOVA with follow-up design; Criteria = Alpha (.05); Significance level:  $p < .001$  \*\*,  $p < .05$  \*;  $\eta^2$  (Partial Eta Squared)

**Table 7:** Results from ANOVA, Tinetti score (Between-Subjects Effects)

Source	Type III Sum of Squares	df	Mean Square	F	P	Partial Eta Squared	Observed Power
Intercept	154903.369	1	154903.369	1328.098	.000	.556	1.000
Dep. T1	4837.843	1	4837.843	41.478	.000	.038	1.000
Lachs_T1	5268.703	1	5268.703	45.172	.000	.041	1.000
Error	123866.912	1062	116.636				

ANOVA with follow-up design; Criteria = Alpha (.05); Significance level:  $p < .001$  \*\*,  $p < .05$  \*



**Graphic 1:** Improvement in functional mobility (Tinetti gait and balance) in depressed patients versus those with no depression at follow-up

**Interpretation of ANOVA with Repeated Measures Analysis**

Regarding Tinetti gait and balance (Table 6, 7) an analysis was run to test whether the rehabilitation program drove a change of the measurement level over time.

Non-dep:  $\bar{x}_{tinT1} = 12.43$ ;  $\bar{x}_{tinT2} = 18.03$ ; Dep:  $\bar{x}_{tinT1} = 8.83$ ;  $\bar{x}_{tinT2} = 14.08$

$\bar{x}_{TotalTinT1} = 10.62$ ;  $\bar{x}_{TotalTinT2} = 16.39$ ;  $df = 1, 1062$ ;  $F = 382.544$

$\bar{x}_{Total\_diff} = 3.04$ ;  $p < .001$ ;  $CI (2.11-3.96)$ ;  $Mean Square = 5362.044$ ;  $F = 382.544$ ;  $p < .001$ ;  $\eta^2 = .26$ ;  $Observed Power = 1$ ; yielded a highly significant within-group change in both groups. There is a genuine main time effect in terms of within-patient change in Tinetti gait and balance mean (Table 6) in both groups. The results corroborate the hypothesis that both groups of patients benefit from the acute rehabilitation. However, there is no significant difference in the increase of functional mobility (Tinetti test) over time between the two groups (non-depressed vs. depressed patients) as noticeable in Table 6:  $Mean Square = 18.510$ ;  $F = 1.321$ ;  $p < .251$ ;  $\eta^2 = .001$ ;  $Observed Power = .209$ . The within-group treatment mean of non-depressed patients is not higher than those with depression at follow-up. The artefact we dealt with here originated from the clinical data. The two groups already significantly differed on the Tinetti at baseline (non-dep tinT1 12.43 vs. dep tinT1 8.83). The non-depressed group has an increase on Tinetti of 5.6 points and the depressed group of 5.25 points. The hypothesis that the treatment mean of non-depressed patients at follow-up will be higher than those with depression at baseline is not confirmed.

**Table 8:** Logistic Regression for Effect of Illness Category on Depression

	Without adjustment for baseline disability (Before treatment) <sup>a</sup>		With adjustment for follow-up disability (In partial remission) <sup>b</sup>	
	Sig.(P)	Odds Ratio (95.0 % CI)	Sig.(P)	Odds Ratio (95.0 % CI)
<b>Focal neurologic deficits</b>	0.003	1.45 (1.13 -1.86)	0.007	1.60 (1.14 - 2.26)
<b>Cerebral infarct</b>	0.500	1.09 (0.84 -1.42)	0.558	1.12 (0.76 - 1.64)
<b>Coronary heart disease</b>	0.032	1.34 (1.02 -1.75)	0.001	2.00 (1.37 - 2.33)
<b>Heart operation</b>	0.618	1.39 (0.37 -5.16)	0.089	1.14 (0.01 - 1.34)
<b>Leg or thorax fracture</b>	0.321	1.14 (0.87 -1.50)	0.320	0.81 (0.55 - 1.21)
<b>Acute myocarditis infarct</b>	0.785	0.95 (0.65 -1.37)	0.963	1.01 (0.59 - 1.71)
<b>Heart or valvular insufficiency</b>	0.883	1.01 (0.80 -1.28)	0.001	1.77 (1.27 - 2.48)
<b>Artificial valvular or pace maker</b>	0.687	0.76 (0.20 -2.81)	0.033	11.27 (1.22 -104.10)
<b>Tumour diagnosis in respiratory organ</b>	0.728	1.09 (0.65 -1.84)	0.011	2.73 (1.25 - 5.95)
<b>Liver disease</b>	0.424	0.79 (0.45 -1.35)	0.171	1.86 (0.76 - 4.55)
<b>Oesophagus and stomach disease</b>	0.287	1.20 (0.85 -1.69)	0.737	1.08 (0.67 - 1.75)
<b>Obesity due to excess calories</b>	0.047	0.57 (0.33 -0.99)	0.478	1.38 (0.56 - 3.40)
<b>Arterial hypertension</b>	0.971	0.99 (0.81 -1.21)	0.579	1.08 (0.80 - 1.46)
<b>Obesity due to excess calories by arterial hypertension</b>	0.004	2.54 (1.34 -4.83)	0.731	0.83 (0.30 - 2.30)

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### a. Intercept and independent main effect of each coexistent illness on Major depression without adjustment

Omnibus Tests of Model Coefficients

$\chi^2 = 30.355$ ;  $df = 15$ ;  $p < .01$

Model Summary:

-2Log likelihood = 2487.077

Goodness of fit:

Hosmer and Lemeshow Test:  $\chi^2 = 4.550$ ;  $df = 8$ ;  $p = .804$

### b. Intercept and independent main effect of each coexistent illness on Major depression after adjustment

Omnibus Tests of Model Coefficients

$\chi^2 = 69.579$ ;  $df = 15$ ;  $p < .001$

Model Summary:

-2Log likelihood = 1181.679

Goodness of fit:

Hosmer and Lemeshow Test:  $\chi^2 = 6.760$ ;  $df = 8$ ;  $p = .563$

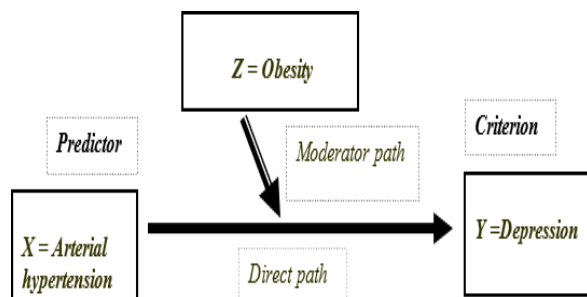
#### Interpretation of the results

Main effect before adjustment

The results (Table 8) demonstrate that before adjustment coronary heart disease [exponential  $\beta = 1.34$ ; 95% confidence interval (CI) = 1.02 - 1.75;  $p < .001$ ]; focal neurologic deficits [exponential  $\beta = 1.45$ ; 95% confidence interval (CI) = 1.13 - 1.86;  $p < .003$ ], are each independently highly predictive for depression. Interpretation of the regression coefficient exponential ( $\beta$ ) suggests that the presence of coronary heart disease contributes to a 1.34 times higher risk for depression compared to patients without coronary heart disease. The presence of focal neuropsychological diseases leads to an increase in risk for depression (odds ratio) by 1.45.

Intercept effects before adjustment

Table 5 shows that some comorbid medical conditions are independently linked to depression risk before adjustment. In referring to intercept models, the logic behind this is to test for a possible moderator or co-factors path.



The results demonstrate that the interaction terms

between arterial hypertension and obesity [exponential  $\beta = 2.54$ ; confidence interval (CI) = 1.134 - 4.83;  $p < .004$ ] is (in this sample) jointly highly predictive for depression risk. There is a moderator effect between arterial hypertension, obesity and depression before treatment. Interpretation of the regression coefficient exponential ( $\beta$ ) suggests that the concomitant presence of arterial hypertension and obesity contribute to a 2.54-time higher risk for depression compared to patients without this interaction effect. While the interaction effect between arterial hypertension and obesity is significantly predictive of depression risk, the independent effect of each single predictor on depression does not have a risk effect [(arterial hypertension, Sig = .097; Expo  $\beta = .099$  CI = .81-1.21); (obesity, Sig = .047; Expo  $\beta = .57$ ; CI = .033-.99)]. It demonstrates that arterial hypertension in association with obesity predict depression risk better than arterial hypertension by itself. Obesity diagnosis does mediate the effect of arterial hypertension on depression. The results strengthen the hypothesis of a moderator effect. The combination of the two predictors is predictive of depression risk, and the model is efficient, statistically significant: goodness of fit = .563;  $\chi^2 = 6,750$ ;  $df = 8$ ;  $p < .001$ ; -2Log likelihood = 1181.679.

Main effect after adjustment

The results (Table 8) strongly demonstrate that after adjustment coronary heart disease [exponential  $\beta = 2.00$ ; 95% confidence interval (CI) = 1.37 - 2.33;  $p < .00$ ]; focal neurologic deficits [exponential  $\beta = 1.60$ ; 95% confidence interval (CI) = 1.14 - 2.26;  $p < .007$ ]; heart or valvular insufficiency [exponential  $\beta = 1.77$ ;



95% confidence interval (CI) = 1.27 - 2.48;  $p < .001$ ]; artificial valvular or pace maker [exponential  $\beta = 11.27$ ; 95% confidence interval (CI) = 1.22 - 104.10;  $p < .03$ ]; tumour diagnosis in respiratory organ [exponential  $\beta = 2.73$ ; 95% confidence interval (CI) = 1.25 - 5.95;  $p < .01$ ], are each independently highly predictive for depression recurrence or relapse. Interpretation of the regression coefficient exponential ( $\beta$ ) suggests that the presence of coronary heart disease contributes to a 1.37 times higher risk for depression recurrence compared to patients without coronary heart disease in partial remission. The presence of focal neurologic deficits leads to an increase in risk for depression (odds ratio) by 1.60. After treatment some new patterns of chronic condition (mainly heart or valvular insufficiency, artificial valvular or pace maker and tumour diagnosis in respiratory organs) were each independently predictive for depression occurrence. The intercept terms between arterial hypertension and obesity were no longer significant after adjustment [exponential  $\beta = 0.83$ ; 95% confidence interval (CI) = 0.30 - 2.30;  $p < .73$ ].

The results support the conjecture that whereas some chronic conditions would act independently as a trigger for depression, some other would need a moderator factor (co-factor path) to trigger depression occurrence before and after adjustment.

### Discussion

This study was designed to examine the specific effect of baseline comorbid diseases on major depression before and after adjustment for baseline disability. It also aimed at assessing the differential functional health outcome following acute medical rehabilitation in patients with a diagnosis of major depression versus no depression. We found that 431 patients (47%) were in partial remission at follow-up. The results which are convergent with those from [9, 39] show that that functional deficit was more accentuated in patients with major depression than in those without depression. Key results point to an improvement at follow-up in functional mobility in both non-depressed and depressed patients as an effect of the intensive rehabilitation. However, at follow-up assessment, the performance of non-depressed patients is not significantly higher than those with major depression at baseline. The plausible hampering effect of baseline depression on functional rehabilitation outcomes outlined by [19, 29, 39] was not supported by our results. We dealt with an endogenous artefact originating from the clinical data we relied on. Our study shows that like their counterparts without depression, patients with

depression at admission benefited from the intensive rehabilitation in term of an increase in functional mobility as measured by the Tinetti at follow-up. This may be due to an effect of the multidisciplinary treatment which included simultaneous antidepressant and psychotherapy management.

Consistent results demonstrate that there is a synergetic relationship between certain illnesses and major depression. The results shed light on the conjecture that there is a connection between specific pattern of chronic comorbid diseases and major depression before medical treatment on the one hand, and after acute rehabilitation and partial remission on the other hand. This pathway (focal neurologic deficits, coronary artery disease) that brings on a potential risk factor for depression before medical treatment sustains after treatment and may act as a risk factor for occurrence of depression after acute rehabilitation, antidepressant and psychotherapeutic treatment. A cofactor effect between arterial hypertension and obesity has been unveiled with the capacity to set off depression before adjustment. Results disclosed a new pattern of chronic conditions mainly for valvular insufficiency, artificial valvular or pace maker and tumour diagnosis in respiratory organs that emerge independently as a risk factor for depression after adjustment. The intercept terms between arterial hypertension and obesity that act as risk factors for depression before adjustment were no longer significant after treatment. This may be due to a proper management of arterial hypertension and weight through adherence to a balanced diet during the course of hospitalization. Thus, although hypertension is very common in geriatric patients and may lead to disability, it may not be associated with depression if well managed [33, 27].

The findings of this study show that elderly patients with coronary heart disease, focal neurologic deficits, arterial hypertension and obesity with comorbid major depression have a higher odd for depression worsening. Nevertheless, they have an amelioration in functional health following the acute treatment. In contrast, other studies found that multimorbid geriatric patients have a poorer rehabilitation outcome probably as a result of worse reaction to medical treatment [16, 35]. Previous findings highlighting the moderator effect of disability suggest that chronic illnesses that lead to functional decline or disability are the most likely illnesses to negatively affect the treatment of depression [9]. Specifically, chronic diseases such as focal neurologic deficits, coronary heart diseases, heart or valvular

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insufficiency and tumour in respiratory organs that trigger depression are those linked to a high potential risk of fall, negative interference with pharmacotherapy and are associated with worse symptoms that weaken functional mobility [31, 33, 35]. Moreover, those illnesses that do not lead to significant functional limitation or those that are more effectively treated or managed may not be associated with treatment non-response. As suggested by the vascular depression hypothesis, the reduction in response to typical treatment may also reflect the need for intervention. Such intervention should also focus on the decline in functional mobility. For instance, combined healthy exercise and physiological therapy or enhanced psychopharmacological treatment of pain [30]. Of the effects noted before and after adjustment, coronary heart disease, focal neuropsychological diseases and the cofactor effects between arterial hypertension and obesity as well as valvular insufficiency, artificial valvular or pace maker and tumour diagnosis in respiratory organ are the most consistent findings. At first glance, one could hypothesize that this interaction is mediated through functional ability and mobility deficits. Moreover, with an increasing support for self-regulation's positive effect on health, it becomes plausible that this interaction may be mediated through cognitive resources such as mood state, self-efficacy, implementation of healthy exercise and maintenance of autonomy.

These results strengthen the vascular depression hypothesis. Indeed, coronary artery disease, focal neuropsychological diseases and tumour diagnosis in respiratory organs are among those illnesses which have been associated with worse treatment outcomes in major depression [31, 24], and appear to be strongly bound [32] to the pathogenesis of neurodegeneration and neurotransmitter disturbance in the ageing brain. In fact, the vascular depression hypothesis is supported by the high rate of depression in patients with hypertension, frontal lobe disease, diabetes and coronary heart disease [3, 8]. Patients who suffered from a stroke are also concerned [21, 37]. Consecutive to the combined rehabilitation, antidepressant and psychotherapy treatment, 53% of depressed patients at baseline did not improve at follow-up. Considering the number of geriatric patients with a diagnosis of major depression at baseline and their counterpart without depression that allowed the follow-up design, it is possible that this fact unveils a lack of treatment response [25, 10, 24, 41]. This finding is in phase with other reports in the literature [11, 17, 35]. Previous research which supports this assumption has found significant

associations between increasing severity of decline in executive functions, certainly related to frontal lobe damage, with greater functional limitation and need for more health services [23]. Cytokine production (a non-antibody protein) is suspected to influence response rates in major depression [41, 26]. Research which consolidates this assumption highlighted chronic, non-infectious diseases (coronary artery disease, pulmonary disease) linked to increased levels of cytokines [14, 6]. However, this assumption is controversial. The results of this study have two major public health implications: According to the World Health Organization, the burden of depression and functional deficit is likely to be serious in the next decade. The public health implication of these estimates is further magnified when put in the context of available evidence that functional decline predicts further functional limitation, functional dependence, increased health care costs, and increased risk of death.

### Limitations

We relied on data from clinical scenarios to test the suspected hampering effect of major depression on functional rehabilitation outcome in elderly undergoing geriatric rehabilitation.

Based on our data, the results did not support the conjecture that the treatment mean of non-depressed patients at follow-up will be higher than those with depression at baseline. An artefact endogenous to the clinical data, which we relied on, caused this. Indeed, the two groups were already different at baseline. Further clinical investigations should control this bias through an innovative experimental design. Whereas the treatment of somatic diseases and functional health were the treatment goals in both groups, a partial remission in depression symptoms was an additional goal for those with major depression. A longitudinal design could have helped to better assess how the improvement in functional mobility and depression evolved. Finally, although patients who were diagnosed with major depression received a proper treatment, we do not know whether this combined treatment was sustained in an ambulatory setting after discharge from hospital.

### Conclusion

Before treatment, specific somatic diseases emerge through an independent and moderator path as risk factors for depression. Except for the intercept effects, this influence persists even after treatment. The results of this paper support the assumption that chronic diseases, as well as depression and functional decline are interconnected. The findings suggest that an accurate medical treatment aimed at

enhancing functional health should tackle depression and chronic diseases.

The study considered all-important recommendations of the Helsinki Declaration of 1975 (in its most recently amended version) as well as the guidelines issued by the ethical standards of the ethics committee of the Charité Universitätsmedizin Berlin. Informed consent was obtained from all participants included in the study.

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